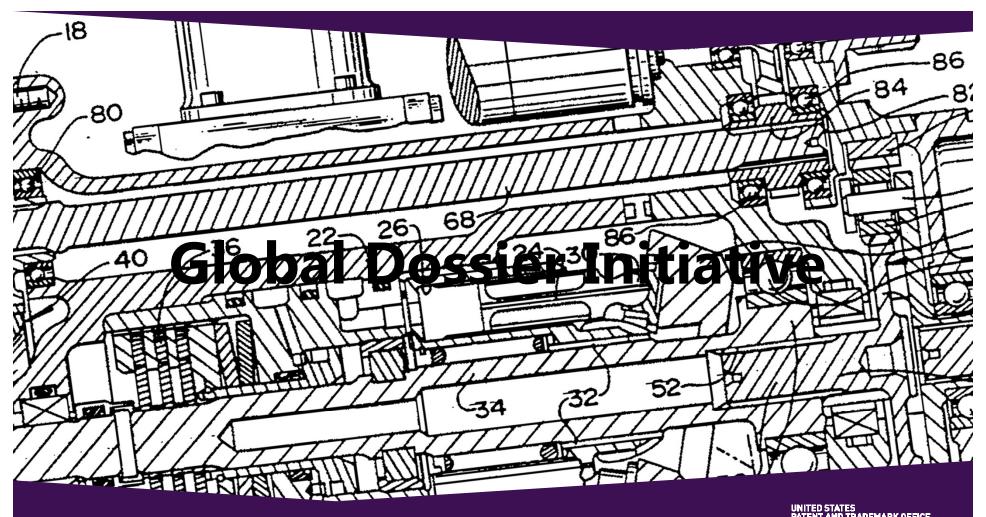
# UNITED STATES PATENT AND TRADEMARK OFFICE

# uspto

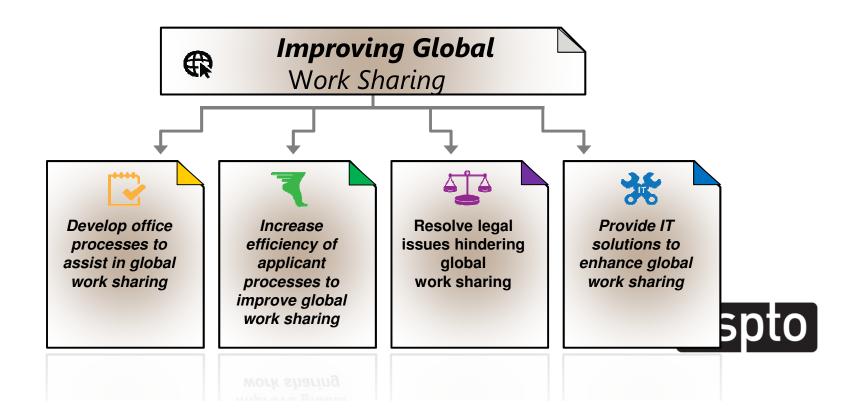
Produced by the United States Patent and Trademark Office; no copyright is claimed by the United States in this presentation or associated materials.



USPTO

#### Office of International Patent Cooperation

Improve the quality, efficiency and predictability of patent family prosecution, thereby improving the certainty of global patent rights.



# **International Cooperation**

- Cooperation among world IP offices
  - Trilateral
  - IP5
  - Multilateral
  - Bilateral
- Cooperation with IP stakeholder groups
  - Global Dossier Task Force
  - AIPPI, FICPI, ABA, PPAC, others



### The IP5

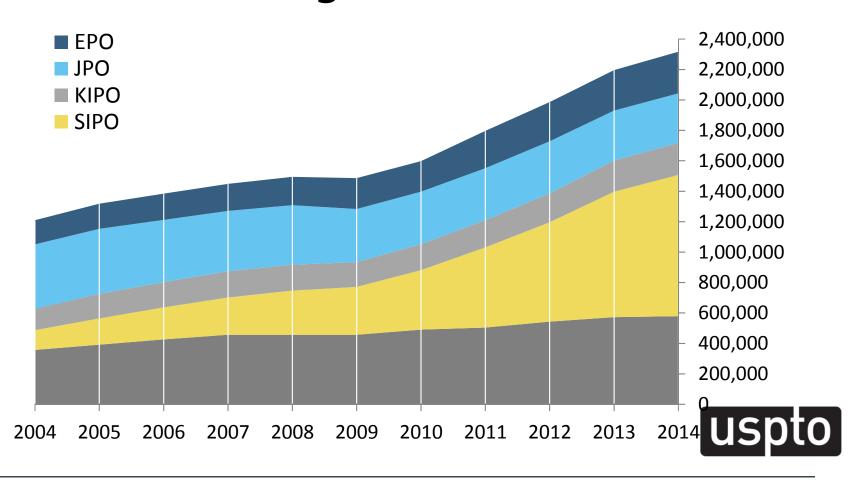
- First meeting held May 2007
- Members:
  - European Patent Office (EPO)
  - Japan Patent Office (JPO)
  - Korean Intellectual Property Office (KIPO)
  - State Intellectual Property Office of the People's Republic of China (SIPO)
  - United States Patent & Trademark Office (USPTO)



European Patent Office /// Japan Patent Office ///
Korean Intellectual Property Office /// State Intellectual
Property Office of the People's Republic of China ///
United States Patent and Trademark Office



## Evolution of filings at the IP5 (2004-2014)



#### **Global Dossier Task Force**

#### **Members**:

- IP5 Offices
  - United States Patent and Trademark Office (USPTO)
  - European Patent Office (EPO)
  - Japan Patent Office (JPO)
  - Korean Intellectual Property Office (KIPO)
  - State Intellectual Property Office of the People's Republic of China (SIPO)

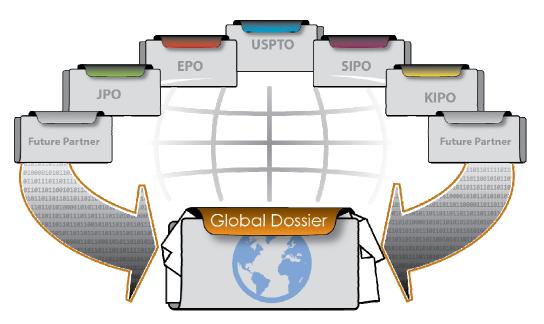
#### Industry IP5 Members

- American Intellectual Property Law Association (AIPLA)
- Intellectual Property Owners Association (IPO)
- Japan Intellectual Property Association (JIPA)
- Korea Intellectual Property Association (KINPA)
- Patent Protection Association of China (PPAC)
- BUSINESSEUROPE
- World Intellectual Property Organization (WIPO)



### **Global Dossier**

Global Dossier is a set of business services modernizing the global patent system and delivering benefits to all stakeholders



Global 3 Dossier



### **Benefits of Global Dossier**

Cost savings - exploit more IP!

**Improved patent quality** 

**Higher value patents** 

**Decreased time to file internationally** 

**Increased ease of international filing** 



### **Global Dossier Services**

#### Current Services

- Public Access: provides access to a user-friendly online interface, which will make it
  easier for patent applicants to quickly and easily view, monitor, and manage
  intellectual property (IP) protection around the world by providing access to the
  dossiers of related applications filed at participating offices
- **International Patent Family**: providers examiner access to work done by examiners on related applications at other offices

#### Upcoming Future Services

- Document Sharing Functionality: sharing documents between office, such as prior art exchanges and supporting documents. Viewed as a first step towards cross-filing
- WIPO CASE Linkage: provides examiners and the public with access to additional participating offices outside of the IP5



# **Global Dossier Usage**

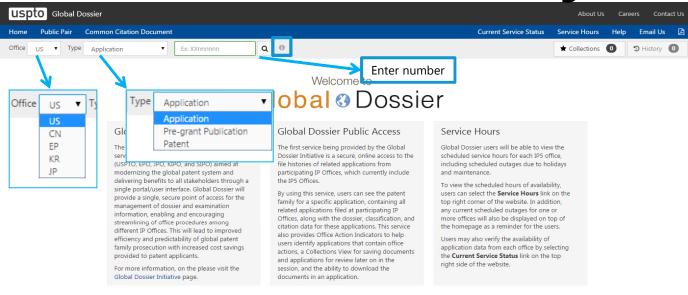
- Global Dossier Public Access
  - Approximately 20,000 accesses per day
- Global Dossier International Patent Family (Examiner Access)
  - Approximately 3,000 accesses per day



# **Global Dossier First Release Demo**

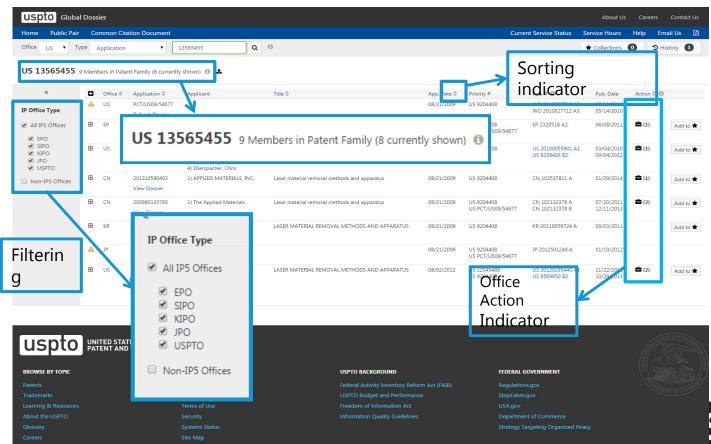


# **Global Dossier Family List**

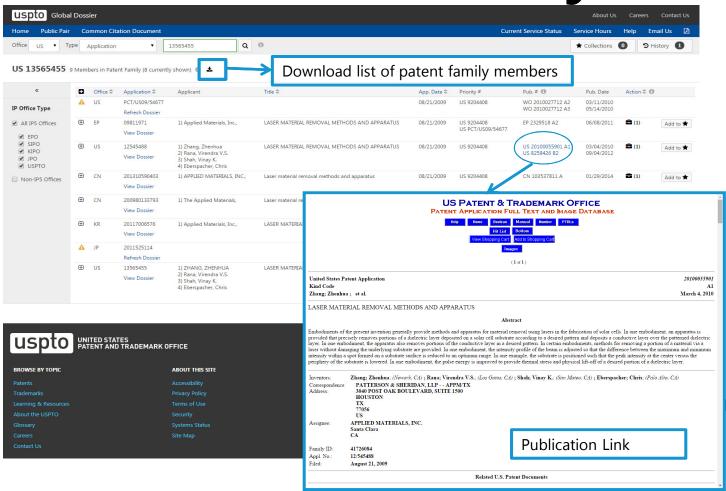




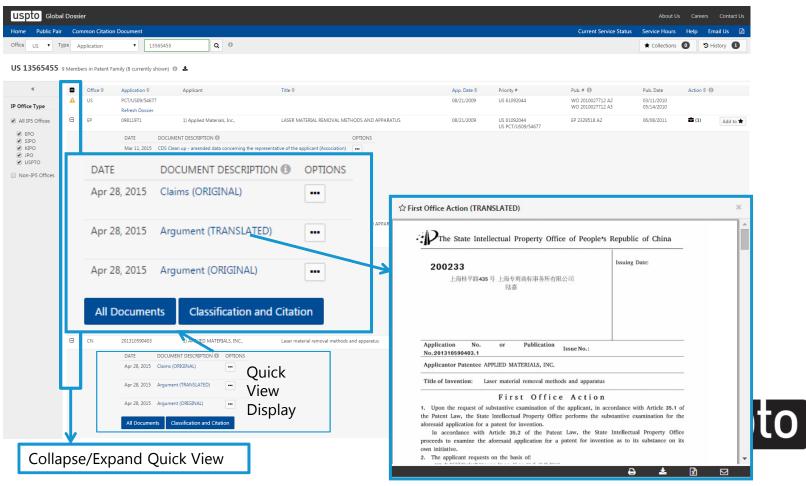
# **Global Dossier Family List**



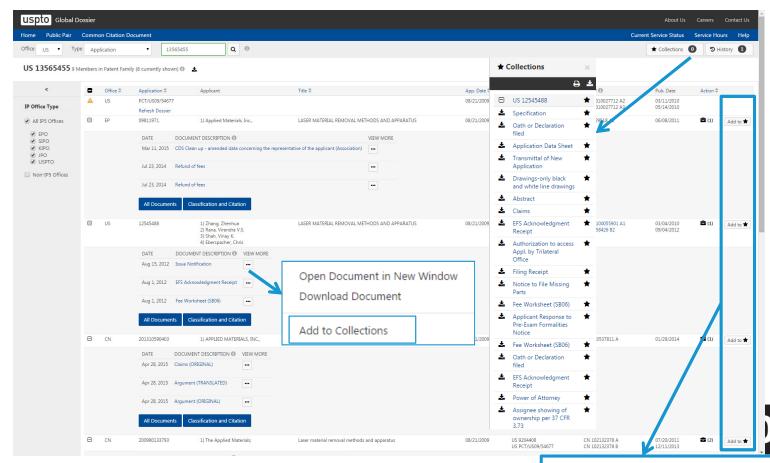
# **Global Dossier Family List**



# **Global Dossier Quick View**

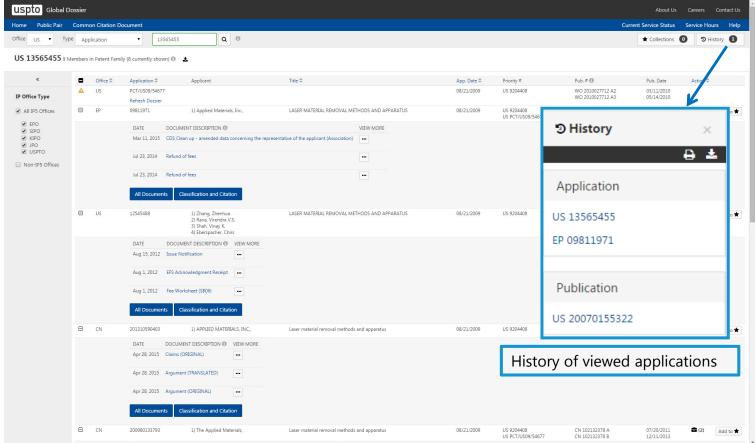


# **Global Dossier Collections**



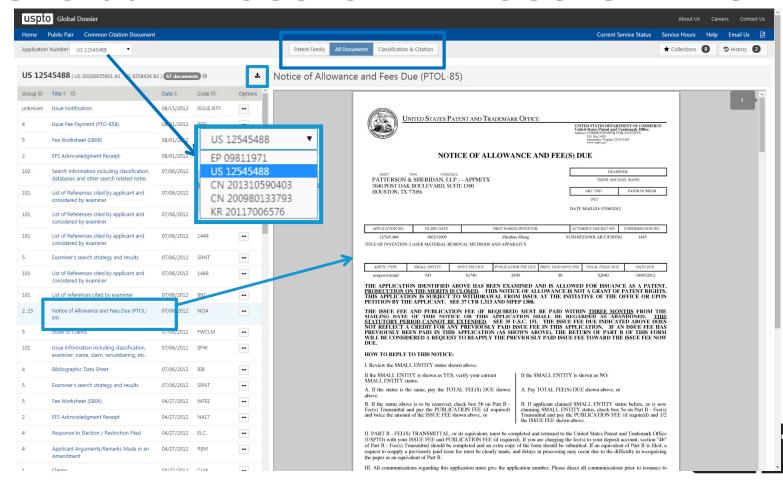
Add Application to Collections

# **Global Dossier History**

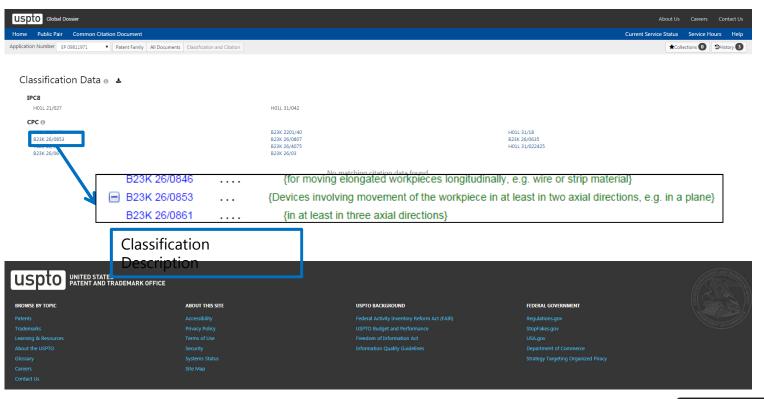




# Global Dossier - Dossier View

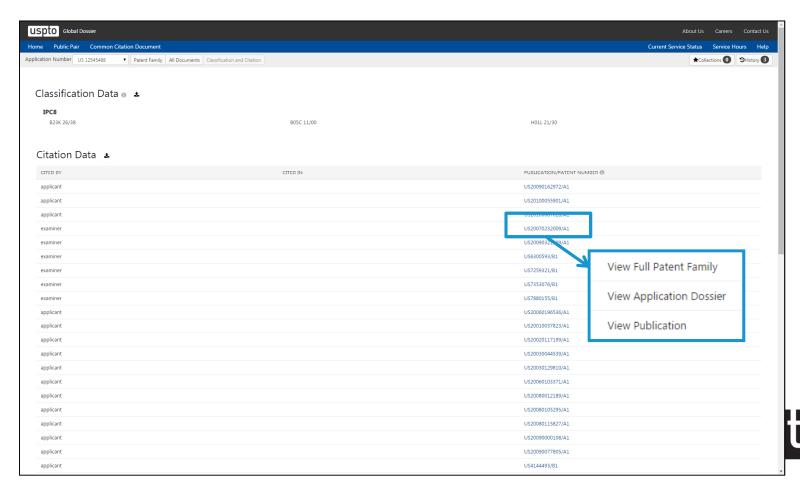


### **Global Dossier Classification Data**

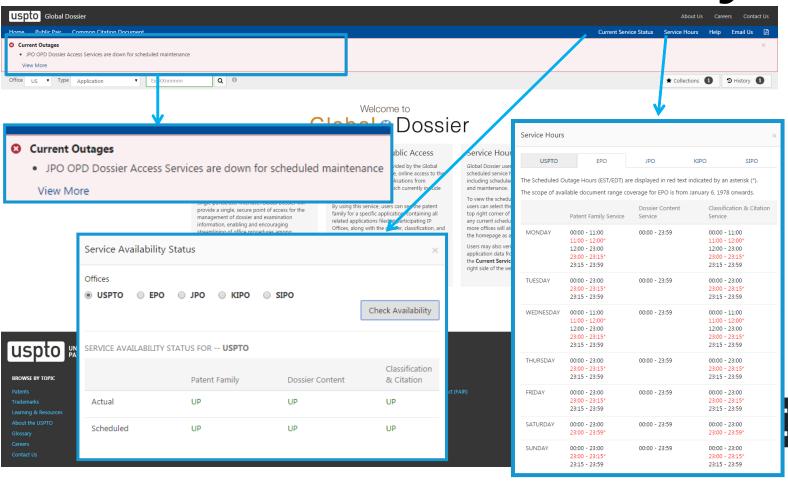




# **Global Dossier Citations**



# **Global Dossier Availability**



# **Upcoming Features**

- Enhanced Office Action indicator
- Document filtering
- Enhanced Office availability alerts
- Linkage with WIPO CASE
- PPH pilot



#### **IP5 Priorities for Global Dossier**

- USPTO "Proof-of-Concept for Inter-Office Exchange"
  - Sharing documents between offices including for example, prior art exchanges, bib data updates, and supporting documents. Viewed as a first step towards cross-filing
- EPO "Alerting"
  - Automated mechanism whereby each office alerts all the other offices, applicants, and representatives of changes in status to an application
- JPO "XML"
  - Enabling each office, and possibly applicants and representatives, to download all application-related data from applications pending in other offices in XML format
- KIPO "Applicant Name Standardization"
  - An automated mechanism that will assign a single, unique name to entities with applications pending in multiple office, including in instances where those entities may have used multiple names, or variations of a single name. to identify themselves
- SIPO -- "Legal Status"
  - A mechanism to allow users to view the legal status of an application in another office



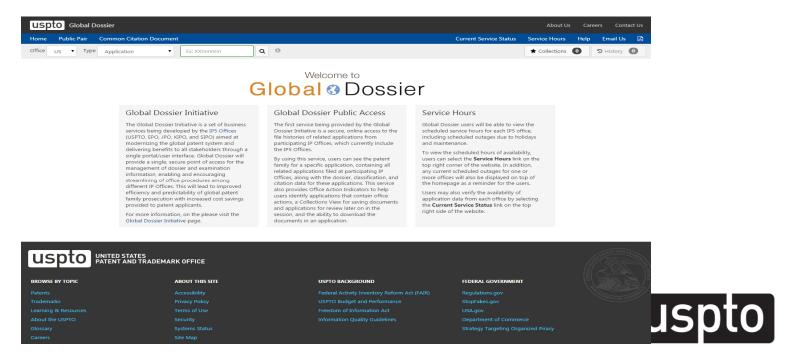
# Stakeholder Input on Global Dossier

- IdeaScale <a href="http://uspto-globaldossier.ideascale.com/">http://uspto-globaldossier.ideascale.com/</a>
  - IdeaScale is one of the ways that OIPC will be gathering stakeholder input and feedback on Global Dossier.
    - Vote and comment on the various ideas
    - Provide additional suggestions for services and features that would improve the ability to monitor and manage related cases around the world
- Focus Sessions
  - Conducted to gather input and feedback on services
- Contact the Global Dossier Team
  - GlobalDossier@USPTO.gov



### **Global Dossier Access**

# Direct access link: http://globaldossier.uspto.gov



### **Global Dossier Contacts**

E-mail: GlobalDossier@USPTO.gov

#### **Don Levin**

Director, International Patent Business Solutions 571-272-3785
Don.Levin@USPTO.gov

#### **Nelson Yang**

Patent Business Analyst, International Patent Business Solutions 571-272-0826
Nelson.Yang@USPTO.gov

#### **Jessica Patterson**

Program Manager, Office of International Patent Cooperation 571-272-8828

Jessica.Patterson@USPTO.gov



